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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of  
Burbank et al.

Examiner: Not yet assigned

Group Art Unit: 3763

For: **UTERINE ARTERY OCCLUSION DEVICE  
WITH CERVICAL RECEPTACLE**

Serial No.: 10/718,222

**TRANSMITTAL**

Filed: November 20, 2003

Atty. Docket No.: R0368-03100

**CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8**

I hereby certify that this paper is being deposited in the U.S. Postal Service as first class mail with sufficient postage addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 1/27/2005, in San Francisco, CA.

By: 

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

1. Transmitted herewith for filing in the above-identified patent application are

☒ Supplemental Information Disclosure Statement;  
☒ Form PTO-1449 and copies of 3 cited references; and  
☒ Self-stamped return receipt postcard.

2. Payment of Fees

☒ There is no fee due with this communication; however, the Commissioner is authorized to charge any deficiency in fees and to credit any overpayment of fees which may be required under 37 C.F.R. §1.16 or §1.17, to Deposit Account No 04-1679, referencing Atty. Docket No. R0368-03100. **A duplicate copy of this transmittal is enclosed.**

Respectfully submitted,

By: 

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) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT**

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Alexandria, VA 22313-1450

Dear Sir:

Applicants wish to bring to the attention of the Patent Office the references listed on the attached PTO-1449 form and request that they be considered by the Examiner. Enclosed herewith are copies of three of the cited references listed in the attached.

This request is being submitted under 37 C.F.R. §1.97(b)(3), therefore no fee is due. However, the Commissioner is authorized to charge any deficiencies in fees due and to credit any overpayment of fees which may be required under 37 C.F.R. §1.16 or §1.17, to Deposit Account No 04-1679, referencing Atty. Docket No. R0368-03100.

Respectfully submitted,

By: 

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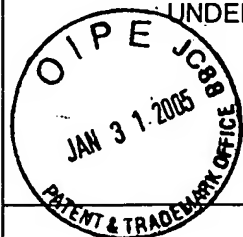
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98

PTO-1449 FORM

SHEET 1 OF 1



ATTORNEY DOCKET NO.:

R0368-03100

SERIAL NO.:

10/718,222

APPLICANTS:

Burbank et al.

EXAMINER

Not yet assigned

FILING DATE:

November 20, 2003

GROUP ART UNIT:

3763

## U.S. PATENT DOCUMENTS

† EXR INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
	A92	3,779,248	12/73	Karman		
	A93	4,226,240	10/80	Walker, Jr.		
	A94	5,674,243	10/97	Hale		
	A95	5,922,008	7/99	Gimpelson		
	A96	2002/0188306	12/02	Burbank et al.		

## FOREIGN PATENT DOCUMENTS

† EXR INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)	
	B21	WO 01/68720	9/01	WIPO		
	B22	WO 01/80713	11/01	WIPO		
	B23	WO 02/00192	1/02	WIPO		

## OTHER DOCUMENTS

† EXR INITIAL	*REF. #	Document Description (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER'S SIGNATURE

DATE CONSIDERED

† EXAMINER:

Initial if reference is considered, whether or not citation is in conformance with MPEP 609.  
 Line through citation if not in conformance and not considered.  
 Include copy of this form in next communication to applicant.

\* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).